

**North Yorkshire County Council**

**Pension Board**

**11 April 2019**

**Progress on issues raised by the Committee**

**Report of the Assistant Chief Executive (Legal and Democratic Services)**

**1.0 Purpose of the report**

**1.1 To advise Members of:-**

- Progress on issues raised at previous meetings;
- Issues that may have arisen, relating to the work of the Board, since the previous meeting

**2.0 Background**

2.1 This report is submitted to each meeting listing the Board's previous Resolutions where further information is to be submitted to future meetings. The table below represents the list of issues which were identified at previous Pension Board meetings and which have not yet been resolved.

Date	Minute No and subject	Resolution/Action	Comment/completed
20 April 2017 /12 October 2017/ 18 January 2018/ 12 April 2018/ 19 July 2018/ 11 October 2018/ 24 January 2019	Minute no. 89 – LGPS Pooling update / Minute no. 110 Draft minutes of Pension Fund Committee – Scheme Member representation on the Joint Committee / Minute no 177(b) - progress on Issues raised	To consider the appointment of Scheme Member representation, through a co-option process, to the Joint Committee.	There has been progress on this issue with an appointment having been made and an update will be provided at the meeting.

<p>18 January 2018 / 12 April 2018/ 19 July 2018/ 11 October 2018/24 January 2019</p>	<p>Minute No 123 – Annual discussion with Treasurer of NYPF / Minute no 145 – Pooling / Minute no. 166 – Pooling / Minute no 177(b) - progress on Issues raised</p>	<p>Arrange a meeting between representatives from the various Pension Boards of those Pension Funds involved in BCPP and the Chief Executive Officer of BCPP to discuss the development of the Pool.</p>	<p>The Chairman will continue to liaise with the Treasurer of NYPF as to how that would be co-ordinated and has discussed the issue with representatives of other Pension Boards whose Funds are part of BCPP, who are also keen to establish such a group.</p>
<p>11 October 2018</p>	<p>Minute No 163 (b) – Vacancy for Employer and Scheme Member representatives</p>	<p>A recruitment exercise have been undertaken and one application for a Scheme Member representative has been submitted</p>	<p>An update will be provided at the meeting.</p>
<p>20 July 2017/18 January 2018/ 19 July 2018/24 January 2019</p>	<p>Minute No 100 – Risk Register / Minute No 123 – Annual discussion with Treasurer of NYPF / Minute no 177(b) – progress on Issues raised / Minute no 186 Pooling</p>	<p>That Pension Board Members be provided with the background documents/ staffing structure/ financial information in relation to pooling. Following that, a structure was required to determine how reports were to be provided, going forward.</p>	<p>The Board was provided with details of the relevant information, to enable them to monitor the development of the pooling arrangements. An appropriate reporting mechanism has yet to be established and further information in relation to this was awaited. A further request for financial information was made at the Board’s January meeting to ensure that suitable comparisons could be made to the current costs of the Fund and those in place when Pooling was in place. It had been noted that, once appointed, a specific role of the Scheme Member representative on the JCC would be to report back to Pension Boards on significant issues, and it was expected that the provision of appropriate documentation would be part of this process.</p>
<p>24 January 2019</p>	<p>Minute No 185 – Pension Board projects</p>	<p>Members agreed to consider a different process for undertaking specific projects, going forward.</p>	<p>Following the review of the Terms of Reference at the July 2019 meeting of the Board, further consideration be given as to how best to develop specific projects related to the work plan.</p>

11 October 2018/24 January 2019	Minute no 172 Governance Arrangements and Minute no 181 Review of Terms of Reference	Review of Pension Fund Governance Documents by the Pension Board and a Review of the Board's Terms of Reference given the significant changes to the LGPS since the Board was established.	The Pension Fund's Independent Observer, Peter Scales and Treasurer, Gary Fielding, have been invited to discuss the Pension Board's role in this process, to give an annual appraisal of the overall function of the Board, and to assist with a review of the Board's Terms of Reference given the significant changes to the LGPS since the Board was created. In line with their availability they will be attending the July meeting of the Board to assist with these considerations.
11 October 2018 / 24 January 2019	Minute no 174 – Skills Matrix / Self- Evaluation Questionnaire	A revised skills matrix/self- assessment questionnaire, adapted from that provided to the Pension Fund Committee had been circulated to Members following the meeting.	The results from the returned questionnaires were to be evaluated at the January meeting, however, there some forms had not been returned, therefore, this was postponed. The returns were still outstanding at the time this report was written.
24 January 2019	Minute No 184 – Risk Register	Add "failure of an employer with no guarantor" to the Fund's Risk Register	Board Members considered this to be a significant risk that was not currently identified in the Risk Register. It was stated that the issue would be taken to the July 2019 Meeting of the Pension Fund Committee, when the Risk Register would next be reviewed, for consideration to be given to its inclusion and the potential level of risk that it carries.

### 3.0 Recommendation

3.1 That the report be noted and further action be undertaken where required.

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April 2019

Background Documents – None